



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 8-21-00.

BY: Rene Samanta

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Diamond, et al.  
Serial No.: 09/596,851  
Filed: 6/19/00  
For: High Throughput Screen For Identifying  
Polymerization Catalyst From Potential  
Catalysts

Group Art Unit: Unknown

Examiner: Unknown

Assistant Commissioner for Patents  
Washington D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |   |  |
|---|--|
| <input type="checkbox"/> Preliminary Amendment                        | <input type="checkbox"/> Information Disclosure Statement  |
| <input type="checkbox"/> Response/Amendment                           | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)  |
| <input type="checkbox"/> Response/Amendment After Final               | <input type="checkbox"/> Formal Drawings                   |
| <input type="checkbox"/> Supplemental Amendment                       | <input type="checkbox"/> Declaration Under 37 CFR 1.131    |
| <input type="checkbox"/> Affidavits/Declarations                      | <input type="checkbox"/> Declaration Under 37 CFR 1.132    |
| <input checked="" type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer               |
| <input type="checkbox"/> Supplemental Declaration                     | <input checked="" type="checkbox"/> Small Entity Statement |
| <input type="checkbox"/> Power of Attorney                            | <input type="checkbox"/> Request for Refund                |
| <input type="checkbox"/> Change of Correspondence Address             | <input type="checkbox"/> Appeal                            |
| <input type="checkbox"/> Associate Power of Attorney                  | <input type="checkbox"/> Petition                          |
| <input checked="" type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter                     |

to be filed in the above-identified patent application.

Docket No. 99-109  
PATENT

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**TOTAL AMOUNT OF PAYMENT: \$130.00**

**METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

**FEE CALCULATION**

**1. EXTRA CLAIM FEES**

			Extra Claims		Fee from below		Fee Paid
Total Claims		-20**	=		X		
Independent Claims		-3**	=		X		
Multiple Dependent Claims							

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) (\$)</b>

2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	130.00
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	475	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,320	241	660	Petition to revive – unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
SUBTOTAL (3)					(\$ 130.00

\*Reduced by Basic Filing Fee Paid

Date: 8-21-00

Respectfully submitted,



Ronald A. Krasnow  
Reg. No. 33,321  
Attorney for Applicant(s)

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## FORMALITIES LETTER



\*OC00000005309076\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/596,851	06/19/2000	Gary M. Diamond	9909

Ronald A Krasnow Esq  
Symyx Technologies Inc  
3100 Central Expressway  
Santa Clara, CA 95051



Date Mailed: 08/09/2000

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## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.136(a) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/28/2000 ETULU1 00000047 500436 09396051

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